



# Assurance

Powered by Open Lake Technology

IPC

## IPC's Assurance

Compliance in global financial trading has never been more challenging. Organizations face increasing regulatory demands while managing complex communication infrastructures.

We help businesses navigate regulatory challenges whilst improving operational resilience and efficiency.

## Key benefits

### 1. Assured Compliance & Audit readiness

- Resolve compliance gaps, ensure full data capture and simplify audits with exportable reports. IPC's Assurance automates regulatory verification with real-time monitoring and dashboards.

### 2. Policy Verification

- Regularly verify and monitor the implementation of your compliance policy and retention to ensure they are being adhered to correctly. Promptly flag any violations and ensure that all regulatory interactions are managed in accordance with best practices.

### 3. Automate Testing

- Trade Floor System Check (TFSC) automated end-to-end testing for the capture and archiving of regulated interactions, which can be executed on a scheduled or ad-hoc basis. This system check will provide 'Ready for Service' reports and ensure post-maintenance system integrity.

## Why Choose IPC's Assurance Services?

IPC delivers a future-ready approach to assured communications compliance and optimised telephony and voice recording system performance.

- **IPC delivers a future-ready approach** to assured communications compliance and optimised telephony and voice recording system performance.
- **Centralised, streamlined compliance:** Simplify workflows across platforms.
- **Real-time insights:** Respond faster to incidents with active monitoring.

- **Customisable dashboards:** Tailor insights to meet your unique needs.
- **Enhanced audit readiness:** Automate and streamline reporting.
- **Operational efficiency:** Minimise risks, reduce costs, improve customer satisfaction.

Empower your organisation with cutting-edge communications compliance technologies that meet today's needs and tomorrow's challenges.



Contact our Sales team here:



### About IPC

A specialist technology and service leader powering global financial markets for over 50 years, IPC Systems is at the forefront of electronic trading connectivity and state-of-the-art cloud communications, setting the standard for exceptional service, innovation, and expertise. IPC's customer-first approach is bolstered by an extensive and diverse financial ecosystem that spans all asset classes and connects market participants anywhere in the world for enhanced communication, collaboration, and compliance.

### About Open Lake Technology

A cutting-edge software provider supporting global customers across digital transformation and automation within compliance and communications platform management, Open Lake Technology is known for delivering innovative solutions that empower businesses to harness the full potential of digital transformation. Specializing in advanced software and system integration, they focus on enhancing compliance practices, business intelligence, cloud computing, and data analytics for their global client base. To learn more, visit [www.open-lake.com](http://www.open-lake.com), to access our blog, and future updates.

IPC

© Copyright 2025 IPC Systems, Inc. All rights reserved. The IPC, IQ/MAX, Unigy, Blue Wave and Connexus names and logos are trademarks of IPC Systems, Inc. All other trademarks are property of their respective owner. IPC proprietary and confidential information.  
For more information, visit [www.ipc.com](http://www.ipc.com)